PRIORITIES AND STRATEGIES FOR HEALTH INFORMATION SYSTEM DEVELOPMENT IN CHINA

How Provincial Health Information Systems Support Regional Health Planning

Submitted by

Hui Yang (MD)

A thesis submitted in total fulfilment of the requirements for the degree of Doctor of Philosophy

School of Public Health
Faculty of Health Sciences

La Trobe University

Bundoora, Victoria, 3086

Australia

December 2004

CONTENTS

LIST OF TABLES	X
LIST OF FIGURES	XII
LIST OF MAPS	XIV
LIST OF ACRONYMS AND ABBREVIATIONS	XV
ACKNOWLEDGMENTS	XXI
ABSTRACT	XXIII
STATEMENT OF AUTHORSHIP	XXV
1. INTRODUCTION	1
RESEARCH BACKGROUND	1
PERSONAL PROFILE: THE ROOTS OF MY INTEREST IN THE STUDY	OF HEALTH
INFORMATION SYSTEMS	5
SUMMARY ON STUDY DESIGN	10
THE ORGANISATION OF THE THESIS	11
2. TRANSITIONS IN CHINA – STUDY BACKGROUND AN	D
ORIENTATION OF STUDY QUESTION	14
ECONOMIC SYSTEM TRANSITION	14
PLANNED ECONOMY IN CHINA – A BRIEF REVIEW	14
TRANSITION TO THE SOCIALIST MARKET ECONOMY	18
HEALTH PLANNING DYNAMICS	24
HEALTH PLANNING UNDER THE PLANNED ECONOMY	24
CONSEQUENCES OF OLD HEALTH PLANNING	28
HEALTH REFORM AND REGIONAL HEALTH PLANNING	39
CHALLENGES FOR REGIONAL HEALTH PLANNING	45
TREND TO INFORMED HEALTH PLANNING	48
IMPACT OF INFORMATION TECHNOLOGY	49
PREVIOUS HIS STUDIES	51

	SUMMARY	53
3.	OBJECTIVES AND METHODS	54
	OBJECTIVES AND RESEARCH MODELS	54
	OBJECTIVES	54
	RESEARCH FRAMEWORKS	54
	STRATEGIES FOR REACHING OBJECTIVES	66
	RHP AS CASE STUDY OF HEALTH PLANNING	66
	PARALLEL INTERACTIONS – HIS AND RHP	67
	QUALITATIVE-QUANTITATIVE COMBINED APPROACHES	67
	RESEARCH QUESTIONS	68
	MAIN QUESTION	68
	DETAILED QUESTIONS	68
	METHODS OF DATA COLLECTION	73
	KEY INFORMANT INTERVIEWS	74
	QUESTIONNAIRE SURVEY	75
	DOCUMENTARY REVIEWS	76
	LITERATURE REVIEW	76
	SAMPLING AND DATA COLLECTIONS	77
	KEY INFORMANT INTERVIEWS	77
	QUESTIONNAIRE SURVEY	87
	DOCUMENT COLLECTION	90
	ISSUES RELATED TO DATA COLLECTION	90
	DATA ANALYSIS STRATEGIES	91
	QUALITATIVE DATA ANALYSIS	91
	QUANTITATIVE DATA ANALYSIS	92
4.	ORGANISATION, INFRASTRUCTURE AND FUNCTIONS OF	
HEAL	TH INFORMATION SYSTEM	93
	INTRODUCTION	93
	HEALTH INFORMATION SYSTEM ORGANISATIONAL ARRANGEMENT	93

	VIEWING THE HEALTH INFORMATION SYSTEM AS A WHOLE	93
	SETTING OF PROVINCIAL HEALTH INFORMATION SYSTEM	98
	LEADERSHIP OF PROVINCIAL HEALTH INFORMATION CENTRES	105
	DEPARTMENTAL RELATIONSHIPS	108
F	HEALTH INFORMATION SYSTEM INFRASTRUCTURE	120
	WORKFORCE OF PROVINCIAL HEALTH INFORMATION SYSTEM	120
	EDUCATION BACKGROUND OF HEALTH INFORMATION STAFF	122
	PHYSICAL RESOURCE AND NETWORK CONSTRUCTION	123
	FINANCIAL RESOURCES	126
F	FUNCTIONS OF PROVINCIAL HEALTH INFORMATION SYSTEM	128
	INVOLVING HEALTH PLANNING AND REGULATORY ACTIVITIES	130
	COLLECTING AND MANAGING HEALTH INFORMATION	132
	DEVELOPING STANDARDS AND NETWORK CONSTRUCTION	133
	RESEARCH, CONSULTATION, TRAINING AND COMMUNICATION	136
	HEALTH INFORMATION SUPPORT FOR RHP	137
		137
S	SUMMARY	
5.		138
5.	SUMMARY	138
5.	HEALTH INFORMATION AVAILABLE IN CHINA	138 140
5.	HEALTH INFORMATION AVAILABLE IN CHINA	138140140
5.	HEALTH INFORMATION AVAILABLE IN CHINA	138140141
5.	HEALTH INFORMATION AVAILABLE IN CHINA INTRODUCTION DEMOGRAPHIC DATA AND INFORMATION	140140141141143
5.	HEALTH INFORMATION AVAILABLE IN CHINA	138140141143148
5.	HEALTH INFORMATION AVAILABLE IN CHINA	138140141141143148
5. II	HEALTH INFORMATION AVAILABLE IN CHINA	138140141143148148
5. II	HEALTH INFORMATION AVAILABLE IN CHINA INTRODUCTION DEMOGRAPHIC DATA AND INFORMATION AUTHORISED ORGANISATIONS POPULATION DATA COLLECTION SOCIO-ECONOMIC DATA AND INFORMATION AUTHORISED ORGANISATIONS SOCIO-ECONOMIC DATA COLLECTION	138140141143148149
5. II	HEALTH INFORMATION AVAILABLE IN CHINA	138140141143148149152
5. II	HEALTH INFORMATION AVAILABLE IN CHINA	138140141143148149152160

	ACTIVITY AND OUTPUT INFORMATION COLLECTED THROUGH MEDICAL	
	RECORD FRONT-PAGE	173
	INFORMATION FROM HOSPITAL STATISTICS REPORT	175
	UTILISATION INFORMATION COLLECTED THROUGH THE NHSS	178
	HEALTHCARE COST DATA/INFORMATION	179
	TOTAL HEALTH EXPENDITURE	180
	INFORMATION IN THE MEDICAL SERVICE FINANCIAL REPORT	180
	INFORMATION COLLECT FROM THE NHSS	184
	THEMATIC SURVEYS	185
	HEALTH NEEDS/DEMANDS AND PRIMARY CARE	185
	DEMAND SIDE INFORMATION	185
	PRIMARY CARE INFORMATION	186
	BURDEN OF DISEASE – DALY	187
	SUMMARY	189
6.	. QUALITY OF DATA FOR HEALTH PLANNING	196
	INTRODUCTION	196
	QUALITY OF HEALTH DATA IN GENERAL	198
	QUALITY OF DEMOGRAPHIC DATA	200
	QUALITY OF SOCIO-ECONOMIC DATA	203
	QUALITY OF EPIDEMIOLOGICAL DATA	206
	QUALITY OF HEALTH RESOURCE DATA	211
	QUALITY OF HEALTHCARE ACTIVITY DATA	213
	QUALITY OF HEALTHCARE FINANCING AND COST DATA	218
	QUALITY OF DEMAND SIDE DATA	222
	Q0	
	SUMMARY	
7.	SUMMARY	224
7.	SUMMARY	224 225
7.	SUMMARY	224225225

QUALITY OF EPIDEMIOLOGICAL INFORMATION	231
QUALITY OF SUPPLY SIDE INFORMATION	234
QUALITY OF DEMAND SIDE INFORMATION	250
QUALITY OF QUALITATIVE HEALTH INFORMATION	255
SUMMARY	256
8. QUALITY OF INFORMATION SYSTEM MANAGEMENT	258
INTRODUCTION	258
SYSTEM DIRECTION AND LONG-TERM STRATEGY	259
MANAGING THE USER-SYSTEM INTERACTION	261
INFORMATION SYSTEM FUNCTION RE-DESIGNING	266
BALANCING HEALTH INFORMATION SYSTEM DEVELOPMENT AROUND	CHINA268
EXPECTED ROLES OF STATISTICS DEPARTMENT	269
COLLABORATION WITH ACADEMIC INSTITUTIONS AND UNIVERSITIES	270
COMMUNITY INVOLVEMENT IN HEALTH PLANNING	272
MANAGING THE HUMAN RESOURCES OF THE INFORMATION SYSTEM	274
SUMMARY	275
9. MACRO IMPACTS ON HEALTH PLANNING AND HEALTH	
INFORMATION SYSTEM DEVELOPMENT	279
INTRODUCTION	279
POLITICAL SYSTEM AND DECISION-MAKING PROCESS	280
THE ROLE OF CHINESE COMMUNIST PARTY	281
THE ROLE OF PEOPLE'S CONGRESSES	286
THE ROLE OF THE PEOPLE'S GOVERNMENT	290
SOCIOLOGICAL PERSPECTIVE ON HEALTH PLANNING AND INFORMATION	ON
SUPPORT	299
SOCIOLOGY IN CHINA	299
GOLDEN MEAN – SOUL OF ORIENTAL CULTURE	300
REGIONAL CULTURE AND REGIONAL PLANNING	301
MEDICAL CULTURE AND REGIONAL PLANNING	302

	COMMUNICATION CULTURE	303
	UNDERSTANDING THE IMPORTANCE OF CULTURAL INFLUENCES	304
:	SUMMARY	308
10.	FUTURE DEVELOPMENT OF REGIONAL PLANNING AND	
IMPLI	CATIONS ON HEALTH INFORMATION SYSTEMS	310
]	DEVELOPMENT OF REGIONAL HEALTH PLANNING IN CHINA	310
	HEALTH PLANNING FOR REGIONAL DEVELOPMENT	310
	HEALTH PLANNING IN SME AND THE GLOBALISED ENVIRONMENT	311
	STRENGTHENING REGIONAL PUBLIC HEALTH AND BASIC MEDICAL	
	SERVICES	312
	FOCUS ON IMPROVEMENT OF VERTICAL AND HORIZONTAL EQUITIES	313
	FROM OUTSPREAD TO PRODUCTIVE AND ALLOCATIVE EFFICIENCIES	314
	ALLOCATE HEALTH FUNDS BASED ON POPULATION NEEDS	315
	PAY ATTENTION TO PROCESS AND OUTPUT	317
	HEALTH PLANNING MAKES IT HAPPEN	318
	REGIONALISED HEALTH PLANNING AND MANAGEMENT	319
	MULTIDISCIPLINARY AND MULTI POLICY TOOLS	320
]	IMPLICATIONS FOR PROVINCIAL HEALTH INFORMATION SYSTEMS	320
	TO IDENTIFY INFORMATION NEEDS OF THE RHP	321
	TO BUILD TEAMS AND COOPERATE WITH EXTERNAL RESOURCES	321
	TO ACTIVELY INTERACT WITH RHP FORMULATORS AND GUIDERS	322
	TO DEVELOP PLANNING TOOLS FOR PREFECTURAL PLANNERS	323
]	INFORMATION PRODUCTS TO SUPPORT REGIONAL PLANNING	323
	COMPREHENSIVE INFORMATION PRODUCTS	323
	DEVELOPED INFORMATION PRODUCTS	324
	RELEVANT INFORMATION PRODUCTS	325
	TIMELY AND TRANSPARENT INFORMATION PRODUCTS	325
	STANDARDISED INFORMATION PROCESSES	326
	UNDERSTANDABLE INFORMATION PRODUCTS	326

11. PROSPECTS FOR HEALTH INFORMATION SYSTEM	
DEVELOPMENT	327
SYSTEM DEVELOPMENT – MISSION AND MASTER PLAN	327
MISSION OF HEALTH INFORMATION SYSTEM	327
HEALTH INFORMATION SYSTEM DEVELOPMENT PLANS	329
SYSTEM DEVELOPMENT STRATEGIES	329
HUMAN RESOUCE DEVELOPMENT AS A PRIORITY FOR SYSTEM	
INFRASTRUCTURE CAPACITY BUILDING	330
A RATIONAL FRAMEWORK OF FUNCTIONS TO RESPOND TO INFORM	MATION
DEMANDS	332
RESTRUCTURING INFORMATION FLOWS FROM FUNCTIONAL HIERA	ACHIES
TO NETWORK COMMUNICATIONS	333
DEVELOPING REGIONAL HEALTH INFORMATION SYSTEMS ACCORD	DING TO
LOCAL CIRCUMSTANCES AND CONTEXTUAL CONSIDERATIONS	334
COORDINATION, COLLABORATION, COMMUNICATION AND	
CONCENTRATION	336
DATABASE DEVELOPMENT	337
IMPORTANCE OF DATABASES FOR REGIONAL HEALTH PLANNING	337
IMPORTANT SOURCES OF INFORMATION FOR THE RHP	340
ROLE OF INFORMATION TECHNOLOGY	341
SWOT ANALYSIS	343
SUMMARY	344
12. CONCLUSIONS AND RECOMMENDATIONS	346
CRITICAL CHAINS IN MACRO SYSTEM TRANSITION AND HEALTH SECT	'OR
REFORM	
OVERALL FINDINGS AND CONCLUSIONS	
IMPLICATIONS FOR CENTRAL DECISION-MAKERS	
STRENGTHENING INTERDEPARTMENTAL COORDINATION AND	
COOPERATION	353

STRENGTHENING CONSULTATIVE AND ADVISORY INPUTS TO HEALTH	
PLANNING	54
BALANCING INFORMATIONALISATION AND MORE FUNDAMENTAL	
CAPACITY BUILDING	55
PROMOTING LEGISLATION ON INFORMATION TRANSPARENCY AND	
SECURITY	56
IMPLICATIONS FOR CHSI AND OTHER HEALTH INFORMATION SUPPLIERS 35	56
STRATEGIC PLANNING FOR INFORMATION DEVELOPMENT 35	57
PROMOTING INFORMATION SHARING THROUGH INTRA- AND INTER-	
DEPARTMENTAL AGREEMENTS	59
BUILDING SYSTEM CAPACITY	60
STRENGTHENING PROVINCIAL HEALTH INFORMATION SYSTEMS 36	61
IMPROVED INFORMATION PRODUCTS	61
STRENGTHENING STANDARDISATION	62
IMPLICATIONS FOR PROVINCIAL STAKEHOLDERS (GOVERNMENT, HEALTH	
BUREAUX AND INFORMATION CENTRES)	63
BUREAUX AND INFORMATION CENTRES) 36 PLANNING 36	
	63
PLANNING	63
PLANNING	63 64
PLANNING	63 64 65
PLANNING	63 64 65 N
PLANNING	63 64 65 N
PLANNING	63 64 65 N 65
PLANNING	63 64 65 N 65 67
PLANNING	63 64 65 N 65 67
PLANNING	63 64 65 N 65 67
PLANNING	63 64 65 8 67 67 68
PLANNING	63 64 65 8 67 67 68

APPENDIX 3 MAJOR POLICIES OF ECONOMIC SYSTEM TRANSITION SINCE
1978
APPENDIX 4 DATASETS OF NATIONAL HEALTH STATISTICS REPORTING
SYSTEM (TRANSLATED)
APPENDIX 5 STANDARD MEDICAL RECORD ABSTRACT (FRONT-PAGE)
(TRANSLATED)
APPENDIX 6 GENERATION, SUBMISSION, AND PRESENTATION OF MEDICAL
RECORD ABSTRACT DATA
APPENDIX 7 HOSPITAL OUTCOME, AGE AND DISEASE SPECIFIC DATA (HS 5-1,
5-2 AND 5-3)
APPENDIX 8 MEDICAL INSTITUTION OPERATION SURVEY (HS-4)
APPENDIX 9 FINANCE DATA COLLECTED THROUGH NATIONAL HEALTH
REPORTING SYSTEM
APPENDIX 10 HOUSEHOLD INTERVIEW SURVEY – NATIONAL HEALTH
SERVICES SURVEY 2003 (TRANSLATED)
APPENDIX 11 SOCIO-ECONOMIC INFORMATION PROVIDED BY STATISTICS
BUREAU (STATISTICS YEARBOOK)
GLOSSARY
BIBLIOGRAPHY 408

LIST OF TABLES

TABLE 2-1 BASIC INDICATORS OF HEALTH RESOURCES BY PROVINCES OF CHINA
TABLE 2-2 BASIC INDICATORS OF HEALTH RESOURCES IN JIANGSU PROVINCE
TABLE 2-3 CONCEPTS OF EFFICIENCY AND EQUITY
TABLE 2-4 COMPARING MAJOR INDICATORS BEFORE AND AFTER RHP IMPLEMENTATION45
TABLE 3-1 AGE AND GENDER OF KEY INFORMANTS
TABLE 3-2 WORKING DEPARTMENT AND LEVEL OF KEY INFORMANTS
TABLE 3-3 SELECTED SOCIO-ECONOMIC AND HEALTH INDICATORS IN VISIT PROVINCES FOR
KEY INFORMANT INTERVIEW SURVEY
TABLE 4-1 HEALTH INFORMATION RELATED LAWS AND REGULATIONS
TABLE 4-2 THREE TYPES OF PHIC ORGANISATION
TABLE 4-3 EDUCATIONAL BACKGROUND OF PHIC DIRECTORS
TABLE 4-4 PHICs' FREQUENT AND IMPORTANT PARTNERS, WITHIN THE PHB110
TABLE 4-5 PHIC'S FREQUENT AND IMPORTANT RELATED GOVERNMENT INSTITUTIONS
(MAJOR THINK-TANKS)
TABLE 4-6 PHICS' FREQUENT AND IMPORTANT RELATED GOVERNMENT BUREAUX OR
COMMISSIONS
TABLE 4-7 PHICS' FREQUENT AND IMPORTANT RELATED RESEARCH INSTITUTIONS AND
UNIVERSITIES
TABLE 4-8 PHICs' FREQUENT AND IMPORTANT RELATED PROFESSIONAL SOCIETIES 117
TABLE 4-9 FUNCTIONS OF HICS AT CENTRAL AND PROVINCIAL LEVELS
TABLE 5-1 FIVE POPULATION CENSUSES IN CHINA AND MAJOR RESULTS
TABLE 5-2 BASIC MEDICAL INSURANCE INFORMATION PROVIDED BY MOLSS
TABLE 5-3 MAJOR COMPARATIVE FINDINGS IN PRC-USA HEALTH SERVICE STUDY IN 1981163
TABLE 5-4 DISEASE-SPECIFIC ADMISSION RATE (PER 1000 POPULATION) AND COMPOSITION
(PERCENT), BASED ON NHSS 1998
TABLE 5-5 DATABASES OF ROUTINE HEALTH REPORTING SYSTEM
TABLE 5-6 NUMBER OF EPISODES OF OUTPATIENT VISITS AND ADMISSIONS, PROVIDED BY
THE NBS, 2000

TABLE 5-7 ESTIMATED MORBIDITIES, VOLUMES OF SERVICES AND FINANCING AND
CHARGES, BASED ON NHSS HOUSEHOLD SURVEY, 1993 AND 1998
Table 5-8 An example of published national health data – $ALOS$ (day) and
AVERAGE CHARGES (YUAN) FOR ACUTE APPENDICITIS IN DIFFERENT TYPES OF
HOSPITALS182
TABLE 5-9 LEADING DISEASE BURDENS IN CHINA, 1990
TABLE 6-1 EVALUATION ON QUALITY OF DEMOGRAPHIC, SOCIO-ECONOMIC AND
EPIDEMIOLOGICAL DATA, (PERCENTAGE OF 'GOOD' AND 'EXTREMELY GOOD' IN
RESPONSE TO Q4.1 AND Q4.2, APPENDIX 1)202
TABLE 6-2 EVALUATION ON QUALITY OF HEALTH RESOURCES DATA, (PERCENTAGE OF
'GOOD' AND 'EXTREMELY GOOD' IN RESPONSE TO Q4.1, SEE APPENDIX 1)212
TABLE 6-3 EVALUATION ON QUALITY OF HEALTH ACTIVITY DATA, (PRECENT OF 'GOOD'
and 'extremely good' in relation to the indicated aspect – see $Q4.1, 4.2$ and
Q4.3, APPENDIX 1)214
TABLE 6-4 EVALUATION ON QUALITY OF HEALTH INSURANCE DATA, (PERCENTAGE OF
'GOOD' AND 'EXTREMELY GOOD' IN RESPONSE TO Q4.3, SEE APPENDIX 1)220
TABLE 6-5 EVALUATION OF QUALITY OF HOUSEHOLD INTERVIEW DATA, (PERCENT OF
'GOOD' AND 'EXTREMELY GOOD' IN RESPONSE OF Q4.2, SEE APPENDIX 1)222
TABLE 7-1 ACCESS BY HEALTH BUREAUX TO INFORMATION IN DIFFERENT SILOS239
TABLE 9-1 HIERARCHY OF CHINA'S GOVERNMENTS
TABLE 11-1 OPINION OF PHICS ON IMPORTANCE OF DATABASES FOR THE RHP338
TABLE 11-2 SWOT ANALYSIS OF PHICS (DATA DERIVED FROM INTERVIEW STUDY) 34-

LIST OF FIGURES

FIGURE 2-1 NUMBER OF HOSPITAL BED IN URBAN AND RURAL CHINA	1
FIGURE 2-2 NUMBER OF HOSPITAL BED PER 1,000 URBAN AND RURAL POPULATION3	31
FIGURE 2-3 NUMBER OF DOCTORS IN URBAN AND RURAL CHINA	32
FIGURE 2-4 NUMBER OF DOCTORS PER 1,000 URBAN AND RURAL POPULATION3	32
FIGURE 2-5 HEALTH RESOURCES AMONG CHINA'S PROVINCES	34
FIGURE 2-6 INTEGRATION OF REGIONAL MEDICAL RESOURCE MANAGEMENT UNDER THE	
RHP4	4
FIGURE 3-1 FRAMEWORK OF STUDY ON HEALTH INFORMATION SYSTEM FOR HEALTH	
PLANNING5	6
FIGURE 3-2 HEALTH INFORMATION PRODUCTTION (BASED ON FORRESTER'S INDUSTRIAL	
DYNAMICS MODEL)5	8
FIGURE 3-3 QUALITY OF DATA, INFORMATION AND INFORMATION SYSTEM5	8
FIGURE 3-4 USER-HIS INTERACTION	54
FIGURE 3-5 LEARNING PROCESS OF HIS AND RHP6	i5
FIGURE 3-6 LOGIC OF HEALTH PLANNING AND HIS STUDY	i6
Figure 3-7 Logic of study questions	'3
FIGURE 4-1 STATISTICS SYSTEM IN CHINA)4
FIGURE 4-2 EVOLUTION OF CENTRAL HIS9	16
FIGURE 4-3 ADMINISTRATIVE AND PROFESSIONAL ARRANGEMENT OF THE PHICS9	17
FIGURE 4-4 PHIC AS A PART OF THE GENERAL OFFICE OF THE PHB9	19
FIGURE 4-5 PHIC AS A PART OF THE DEPARTMENT OF PLANING AND FINANCE OF THE PHB 1	00
FIGURE 4-6 PHIC AS ONE OF GOVERNMENT INSTITUTIONS OF THE PHB)1
FIGURE 4-7 AVERAGE POPULATION PER GOVERNMENT OFFICER IN SELECTED CHINESE	
DYNASTIES	13
FIGURE 4-8 STRUCTURAL TRANSITION OF GOVERNMENT DEPARTMENTS AND INSTITUTIONS	104
FIGURE 4-9 INCREASING INDEPENDENCE OF HIC)4
FIGURE 4-10 NUCLEAR STRUCTURE OF DEPARTMENTAL RELATIONSHIPS 10	19

FIGURE 4-11 PATTERNS OF PROVINCIAL HIS ORGANISATION AND INTERACTIONS - STYLE 1:
CORE AND PERIPHERY
FIGURE 4-12 PATTERNS OF PROVINCIAL HIS ORGANISATION AND INTERACTIONS - STYLE
Two: Hierarchy
FIGURE 4-13 PATTERNS OF PROVINCIAL HIS ORGANISATION AND INTERACTIONS - STYLE
Three: Network
FIGURE 4-14 NUMBERS OF HEALTH INFORMATION STAFF IN PHICS
FIGURE 4-15 EDUCATION BACKGROUNDS OF PHIC STAFF
FIGURE 4-16 PERCENTAGE OF PHICS INVOLVED IN NATIONAL AND REGIONAL PLANNING 130
Figure 4-17 Percentage of PHICs involved in data collection and maintain $\dots 133$
FIGURE 4-18 PERCENTAGE OF PHIC INVOLVED IN SETTING PROFESSIONAL STANDARDS AND
NETWORK CONSTRUCTION
FIGURE 4-19 PERCENTAGE OF PHIC INVOLVED IN RESEARCH, CONSULTING, AND
INFORMATION COMMUNICATION
FIGURE 5-1 DEMOGRAPHIC DATA COLLECTION, FLOW AND PRESENTATION143
FIGURE 5-2 A LUCKY COUPLE OF RURAL SHANNXI GAINED THEIR HOUSEHOLD REGISTER IN
PROVINCIAL CAPITAL CITY (LEFT) AND THE NEW FORMATTED RESIDENT
IDENTIFICATION CARD (RIGHT)
FIGURE 5-3 TRADITIONAL SYSTEM FOR VITAL (DEATH) DATA COLLECTION154
FIGURE 5-4 AVAILABILITY OF HOSPITAL MORBIDITY DATA
FIGURE 5-5 THREE MAJOR CHANNELS OF HEALTH REPORTING
FIGURE 5-6 OUTPATIENT SERVICE AND INFORMATION FLOWS
FIGURE 6-1 THREE DIMENSIONS OF QUALITY EVALUATION
FIGURE 6-2 TOTAL HEALTH EXPENDITURE AND PERCENTAGE IN GDP IN FOURTEEN CHINESE
PROVINCES, 1998
FIGURE 9-1 POLITICAL STRUCTURE OF CHINA280

LIST OF MAPS

MAP 3-1 LOCATION OF GUANGDONG PROVINCE IN MAP OF CHINA (LEFT, SHADED AREA)
and location of Shenzhen city in map of Guangdong (right, pointed area) 82
Map 3-2 Location of Jiangsu province in Map of China (left, shaded area) and
LOCATION OF LIANYUNGENG CITY IN MAP OF JIANGSU (RIGHT, POINTED AREA)84
MAP 3-3 LOCATION OF JILIN PROVINCE IN MAP OF CHINA (LEFT, SHADED AREA) AND
LOCATION OF YANJI CITY IN MAP OF JILIN (RIGHT, POINTED AREA)85
MAP 3-4 LOCATION OF SHANXI PROVINCE IN MAP OF CHINA (LEFT, SHADED AREA) AND
LOCATION OF TAIYUAN CITY IN MAP OF SHANXI (RIGHT, POINTED AREA)86
MAP 4-1 PROVINCES (SHADED) WHERE PROVINCIAL HEALTH INFORMATION CENTRES
REPORT WORKING CLOSELY WITH THE PROVINCIAL PLANNING COMMISSION
MAP 4-2 PROVINCES (SHADED) WHERE PHIC LEADERS REPORT CLOSE
(FREQUENT/IMPORTANT) COOPERATION WITH UNIVERSITIES AND RESEARCH
INSTITUTES
MAP 5-1 NATIONAL DISEASE SURVEILLANCE POINTS
MAP 6-1 Provinces of China where total health expenditure data available
(SHADED)219

LIST OF ACRONYMS AND ABBREVIATIONS

ABS Australian Bureau of Statistics (of Australia)

ACFDP All-China Federation of Disabled Persons

ACFTU All-China Federation of Trade Unions

AIDS Acquired Immune Deficiency Syndrome

AIHW Australian Institute of Health and Welfare (of Australia)

ALOS Average Length of Stay

BMC Beijing Medical College

BMU Beijing Medical University

BOD burden of disease

BSC Balance Scorecard

BBC British Broadcasting Corporation

CAAC Civil Aviation Administration of China

CASS Chinese Academic of Social Science

CAST China Association for Science and Technology

CBA cost-benefit analysis

CCD Chinese Classification of Diseases

CCDC Chinese Centre of Disease Control and Prevention

CCP Chinese Communist Party

CCCPC Central Committee of the Chinese Communist Party

CCTV China Central Television

CD compact disk

CDC Centre of Disease Control

CDCP Centre of Disease Control and Prevention

CD-ROM compact disc read only memory

CDWSM chronic diseases within six months

CEA cost-effectiveness analysis

CEO chief executive officer

CHC community health centre

CHEI China Health Economic Institution

CHMI China Hospital Management Institution

CHS community health station

CHS Comprehensive health statistics

CHSI Centre of Health Statistical Information

CIHI Canadian Institute of Health Information

CIO chief information officer
CIS clinical information system
CMA Chinese Medical Association
CMB China Meteorological Bureau
CMS cooperative medical system

CNPNGCG China National Petroleum and Natural Gas Corporation Group

COPD chronic obstructive pulmonary disease

CPIRC Chinese Population Information and Research Centre

CPMA Chinese Preventive Medical Association

CPU central processing unit

CT computerised tomography

CPCG China Petrochemical Corporation Group

CSTIND Commission of Science, Technology and Industry for National Defense

CUA cost-utility analysis

DALY disability-adjusted life year

DDC Department of Disease Control

DHA Department of Health and Aging (of Australia)

DHS Division of Health Statistics

DHS Department of Human Services (of State Victoria, Australia)

DOHPF Department of Health Planning and Financing

DMA Department of Medical Administration

DOT directly observed therapy
DRG Diagnosis Related Group
DSPs Disease Surveillance Points
DWTW diseases within two weeks
EBM evidence-based medicine
EBP evidence-based policy

FLO Foreign Loan Office

FOI Freedom of Information

FFS fee for service

GCA General Customs Administration

GDDS General Data Dissemination System

GDP gross domestic product

GHE government health expenditure
GIS government insurance scheme
GLD General Logistics Department

GP general practitioner

HB health bureau

HEA Health Economics Association

HI health information

HIC Health Information Centre
HIS Health Information System

HIPAA Health Insurance Portability and Accountability Act

HIV human immunodeficiency virus HRM human resource management

HS health statistics

HSA Health Statistics Association

HSR health sector reform

IBM International Business Machines Corporation

ICD international classification of diseases

ICT information and communication technology

ID individual identification

IHI Institute of Health InspectionIMF International Monetary Fund

IMR infant mortality rate

ISDPT Institute of Specific Disease Prevention and Treatment

IS information system

IT information technologyJHU Johns Hopkins University

LE life expectancy

LGCA Leading Group for Computer Application

LIS labour insurance scheme

LOS length of stay

LTU La Trobe University

MCH maternal and child health

MIS management information system

MMR maternal mortality rate
 MOA Ministry of Agriculture
 MOC Ministry of Construction
 MOCA Ministry of Civil Affairs
 MOCOM Ministry of Communication

MOCU Ministry of Culture

MOE Ministry of Education

MOF Ministry of Finance

MOFTEC Ministry of Foreign Trade and Economic Cooperation

MOH Ministry of Health

MOII Ministry of Information Industry

MOJ Ministry of Justice

MOLR Ministry of Land and Resources

MOLSS Ministry of Labour and Social Security

MOPS Ministry of Public Security

MOR Ministry of Railway

MOST Ministry of Science and Technology

MOWC Ministry of Water Conservancy

MPE Modified Planned Economy

MRI magnetic resonance imaging

MU medical university

NBS National Bureau of Statistics
NBF National Bureau of Forestry

NBSM National Bureau of Science and Mapping

NCD non-communicable diseases

NDPC National Development and Planning Commission
NDRC National Development and Reform Commission

NDSPs New Disease Surveillance Points

NETC National Economy and Trade Commission

NGOs non-government organisations

NHEI National Health Epidemic Information

NHMD National Hopsital Morbidity Database (of Australia)

NHPA National Health Priority Areas (of Australia)

NHS National Health Service (of UK)

NHSR National Health Services Research

NHSS National Health Services Survey

NIC National Information Centre

NIH National Institute of Health (of USA)

NPC National People's Congress

NPFPC National Population and Family Planning Commission

NSW New South Wales (a State of Australia)

OOP out-of-pocket

OECD Organisation for Economic Co-operation and Development

PACS picture archival communications system

PBS pharmaceutical benefits scheme (of Australia)

PC personal computer

PDPC Provincial Development and Planning Commission

PES Prevention and Endemic Station

PFB Provincial Finance Bureau
PHB Provincial Health Bureau

PHC primary health care

PHE personal health expenditure

PHIC Provincial Health Information Department

PHS professional health statistics

PKU Peking University

PRC People's Republic of China

PRU primary report unit

PSB Provincial Statistics Bureau R&D Research and development

RAWP Regional Allocation Working Party (of UK)

RHP Regional Health Planning

RMB Ren Min Bi (Chinese currency)

RMIP Regional Medical Institution Planning

ROHPS Research Office of Health Planning Statistics

RSEST Rural Socio-Economic Survey Team
SANP State Agency of News and Publication

SAOBFT State Administration of Broadcasting, Film and Television

SAOEC State Administration of Exchange Control

SAOIC State Administration of Industry and Commerce

SAOTSQ State Administration of Technical Supervision and Quarantine

SAP situation analysis for policy

SARS severe acute respiratory syndrome

SBIP State Bureau of Intellectual Properties

SBOCR State Bureau of Cultural Relices

SC State Council (central government of China)
SEPA State Environment Protection Administration

SEZ special economic zone
SHE social health expenditure

SHS Society of Health Statistics

SHM Society of Hospital Management

SIC State Information Centre SME socialist market economy

SAOFE State Administration of Foreign Exchanges

SOA State Oceanic Administration

SOE State owned enterprise

SPSS Statistics Package for Social Sciences

SSA Sate Sports Administration SPC Supreme People's Court

SPP Supreme People's Procuratorate

STD sex-transmitted diseases

SWOT strengths, weaknesses, opportunities and threats

TB tuberculosis

TCM traditional Chinese medicine

THC township health centre
THE total health expenditure
TQM total quality management

TV television

U5CMR under five child mortality rate

UK United Kingdom
UN United Nations

UNDP United Nations Development Programme

UNESCAP United Nations Economic and Social Commission for Asia and Pacific

UNICEF United Nations Children's Fund

USA United States of America

USEST Urban Socio-Economic Survey Team

VHSs village health stations

WB World Bank

WHO World Health Organisation

WPRO World Health Organisation Pacific Regional Office

WTO World Trade Organisation

YLL years of life loss

ACKNOWLEDGMENTS

It is hard for me to adequately acknowledge all of those who have supported my study, with such limited words.

My study was made possible by the support given by La Trobe University and Peking University as part of the exchange program between the two institutions. I would like to thank Professor Vivian Lin, Head of the School of Public Health, Faculty of Health Sciences of La Trobe University, whose support must be acknowledged as far as the development of my study was concerned. I would like to thank Professor Guo Yan of Peking University Health Sciences Centre, for her approval in supporting my overseas study. I shall also like to thank Professor Hu Yonghua, the Dean of School of Public Health of Peking University, who supported me in conducting my fieldwork. Great thanks to Professor Chen Yude, with his rich experience and knowledge of health information management and health planning, who has provided on-going backbone support to me over two decades.

I would like to thank Professor Rao Keqin and Dr. Gao Jun, Director and Deputy Director of Centre of Health Statistical Information of MOH of China, as well as Wu Xiaoling, Hu Jianping and many senior staff in the same institute, whose provided great administrative and academic support for my study, and ensured that my fieldwork was conducted successfully. I also want to thank Li Yiming, Chen Shaoxian, Zhang Fulin, Yan Jixiang and Cheng Jinquan of Guangdong, Zhou Zhenqiu of Jiangsu, Li Yujie and Zheng Jianzhong of Shanxi, and Leng Rongjiu of Jilin, without whose kindly assistances, my fieldwork would have been much more difficult. I would also like to thank the health planners and health information specialists who participated in my interview and questionnaire studies, providing essential information for this thesis.

I have been fortunate to have three outstanding supervisors to work with on my PhD study. I am greatly indebted to my principal supervisor, Associate Professor David Legge, who offered invaluable guidance, advice, encouragement and expertise in supporting my

study over the three and half years. He has spent great deal of time to support me with every aspect of my work. Dr. Pei Likun, Deputy Director of China Health Program of La Trobe University provided every possible form of support, assistance and encouragement in my study. Professor Vivian Lin, drawing on her rich experience and academic accomplishments, has directed my work with rational and practical perspectives. All three of them have been kind and personally involved in their work with me and have shown great patience in helping me write my ideas in English, which is my second language.

I wish to thank Judith Dwyer, Heather Grain, and Shane Thomas who shared their extensive experiences in information management development in Australia and in China.

I would like to express my sincere thanks to Liu Chaojie (George), Gong Zhiping (Justin) and Zhao Hongwen for sharing their experiences in China's health policy and researches when we work together in LTU. Many thanks to my family of postgraduate students, gathered in Room 212 who provided emotional and study support to me, Di Bourne, Araya Sripairoj, Vicki Tsianakas, Redwanur Rahwan, Li Ou (Grace), Mile Glamcevski, Divya Ramanathan, Zhang Yan and Pat Dobson.

I will submit this thesis right after the 20th anniversary of my graduation in Beijing Medical University and commencement of my teaching career in PKU. I would like to take this opportunity to thank all my PKU colleagues with whom I have worked over the years and with whom I have enjoyed valuable academic debates. Sincere thank you to Wu Ming, Li Manchun, Song Wenzhi, Zhang Tuohong and Chen Juan.

My family has provided me with great practical and emotional supports over the three and half years in Melbourne and in China, and for this I will always be extremely grateful. I would like to present this thesis as an expression of my gratitude to my wife Han Lijuan (Joanna) and lovely daughter Yang Xuelian (Jenny).

ABSTRACT

China is moving towards a market economy. The greater use of market forces has made China richer, accelerated modernisation and increased productive efficiency but has created new problems, including, in the health sector, problems of inequity and allocative inefficiency. From 1997, the Chinese government committed to a national policy of regional health planning (RHP), as part of a broader commitment to harmonising social and economic development. However, RHP has been slow to impact on the equity and efficiency problems in health care.

Planning requires information; better health decision-making requires better health information. Information systems constitute a resource that is vital for the health planning and the management of the health system. Properly developed, managed and used, health information systems are a highly cost-effective resource for the nation and its regions. Bureaucratic resistance, one of critical reasons is that regional health planners gained insufficient support from information system. Health information needs to adopt into the new way of government health management.

The objective of the study is to contribute to the development of China's health information system (HIS) over the next 5-10 years, in particular to suggest how provincial health information systems could be made more useful as a basis for RHP. The existing HIS is examined in relation to its support for and relevance to RHP, including policy framework, institutional structures and resources, networks and relationships, data collection, analysis, quality and accessibility of information as well as the use of information in support of health planning. Data sources include key informant interviews, a questionnaire survey and various policy documents. Qualitative (questionnaire survey on provincial HIS) and quantitative (key informant interviews) approaches are used in this study. Document analysis is also conducted.

The research examines information for planning within the macro and historical context of health planning in China, in particular having regard to the impacts and implications of the transition to a market economy. It is evident that the implementation of RHP has been retarded by poor performance of information system, particularly at the provincial level. However, the implementation of RHP has also been complicated by fragmented administrative hierarchies, weak implementation mechanisms and contradictions between different policies, for example, between improved planning and the encouragement of market forces in health care.

To support RHP which is needs based, has a focus on improving allocative efficiency and is adapted to the new market development will require new information products and supports including infrastructure reform and capacity development. Provincial HIS needs to move from being data generators and transmitters to becoming information producers and providers. Health planning has moved to greater use of population-based benchmark and demand-side control. Therefore, information products should be widened from supply side data collection (in particular assets and resources) to include demand-side collection and analysis (including utilisation patterns and community surveys of opinion and experience). The interaction between users (the planners) and producers (the HIS) should be strengthened and regional networks of information producers and planners should be established.

STATEMENT OF AUTHORSHIP

Except where reference is made in the text of the thesis, this thesis contains no material published elsewhere or extracted in whole or in part from a thesis submitted for the award of any other degree or diploma.
Not other person's work has been used without due acknowledgement in the main text of the thesis.
This thesis has not been submitted for the award of any degree or diploma in any other tertiary institution.
Hui Yang